

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 1998

RECEIVED DIV.

Applicant(s): Lee Mizzen, Lawrence S.D. Anthony, Marvin Siegel and Huacheng Bill Wu
Application No.: 08/977,787 Group Art Unit: 1642
Filed: November 25, 1997 Examiner:
For: IMMUNE RESPONSES USING COMPOSITIONS CONTAINING STRESS PROTEINS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231:

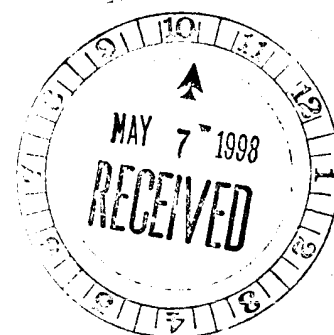
on 3-20-98

Date

Signature

Karen DiRocco

Typed or printed name of person signing certificate



Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is a Preliminary Amendment for
filing in the above-identified application.

☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.

☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

(COL. 1)

(COL. 2)

(COL. 3)

SMALL ENTITY

OTHER THAN
SMALL ENTITY

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	52	MINUS	* 52	0
INDEP	14	MINUS	** 14	0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				

	RATE	ADDIT. FEE
X	\$11	\$
X	\$41	\$
+	\$135	\$

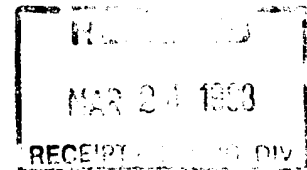
OR

	RATE	ADDIT. FEE
X	\$22	\$ 0
X	\$82	\$ 0
+	\$270	\$

* not fewer than 20
** not fewer than 3

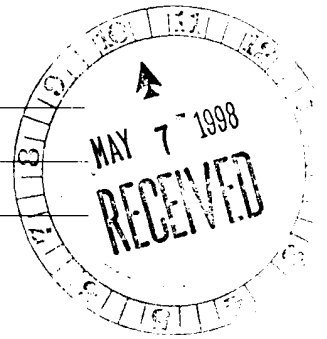
TOTAL = \$

\$ 0



Please charge Deposit Account No. 08-0380 for the following fees:

<input type="checkbox"/>	Petition for _____ month Extension of Time	\$ _____
<input type="checkbox"/>	Amendment Fee	\$ _____
<input type="checkbox"/>	Other Fees:	\$ _____
	_____	\$ _____
	_____	\$ _____
	TOTAL:	\$ _____



A check is enclosed in payment of the following fees:

<input type="checkbox"/>	Petition for _____ month Extension of Time	\$ _____
<input type="checkbox"/>	Amendment Fee	\$ _____
<input type="checkbox"/>	Other Fees:	\$ _____
	_____	\$ _____
	_____	\$ _____
	TOTAL:	\$ _____

☐ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Anne J. Collins
Anne J. Collins
Registration No. 40,564
Attorney for Applicant(s)
Telephone (781) 861-6240
Facsimile (781) 861-9540

Two Militia Drive
Lexington, Massachusetts 02173

Dated: March 20 1998

STS9602A.PLA
AJC72●WP51
AJC:kfd
03-20-98

PATENT APPLICATION
Docket No.: STS96-02A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

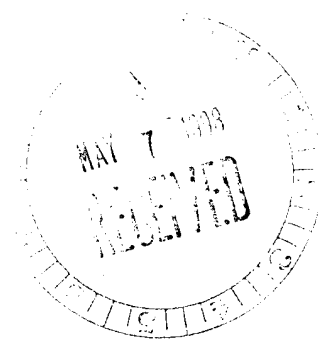
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on <u>3-20-98</u>	<u>Karen Dixie</u>
Date	Signature
<u>Karen Dixie</u>	
Typed or printed name of person signing certificate	



PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Applicants respectfully request entry of the Preliminary Amendment in the referenced-application. Please amend the specification in the above-identified application as follows: